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# Chapter 2: Algorithm Complexity Analysis

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# Reading

Cormen book:

Thomas, H. ,Cormen, Charles, E., Leiserson, and Ronald, L., Rivest .  
Introduction to Algorithms, The MIT Press.

(read Chapter 1 and 2, page 1-44).



# A real example: Exon-capture data analysis

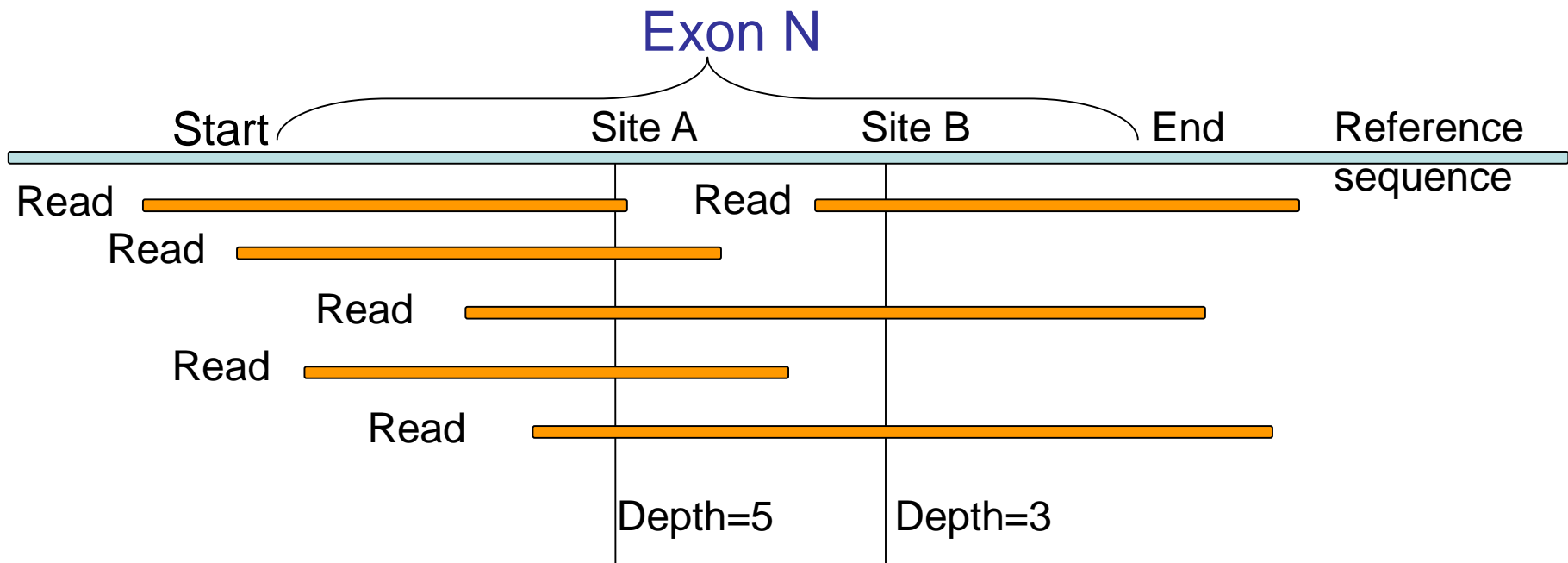
There are ~60 millions of short reads sequenced from exon regions of a human genome. We need to figure out the how many exons were covered with at least 10 reads.

Steps:

1. Reads are aligned to the genome;
2. Each alignment is checked to see the exon it covers;
3. For each exon, check the number of reads cover the exon;
4. For all exons, filter out those with read number  $< 10$ .



# A real example: Exon-capture data analysis





# A real example: Exon-capture data analysis

**1 days later**

***Student:*** I have created a program to do the analysis. It's running.

***Teacher:*** Cool. Let me know when your analysis finishes.



# A real example: Exon-capture data analysis

## 6 days later...

**Student:** My program has been running for 5 days, and it keeps on running. I have no idea about what is happening and what to do with it.

**Teacher:** Its core is a sorting algorithm with a complexity of at most  $O(N \cdot \lg N)$ . It should be done within a few minutes!

**Student:** What?.....



# Algorithm and its complexity

An **algorithm** is any well-defined computational procedure that takes in some **inputs** and produces some **outputs**.

Example: Sort an array of numbers

$3, 2, 4, 5, 7, 1, 6 \rightarrow 1, 2, 3, 4, 5, 6, 7$





# Algorithm and its complexity

An algorithm is any well-defined computational procedure that takes in some inputs and produces some outputs.

**Complexity:** a function of **input size**

- Time complexity: the running time
- Space complexity: the memory size required



# Algorithm and its complexity

## Input size

- Number of items in the input
  - Sorting problem
  - FFT
- Total number of bits needed to represent the input
  - Arithmetic operation (+, -, x, /)
- The value of input
  - Factorial (N!)

## Multiple input sizes

- Need to specify which input size is used
  - Graph operation (number of Vertices, and edges)



# Algorithm and its complexity

## Before we start

- we use a generic one-processor, random-access machine.  
No parallel



# Algorithm and its complexity

Example: Sort an array of numbers

5, 2, 4, 6, 1, 3  $\rightarrow$  1, 2, 3, 4, 5, 6

Insertion sort (A)

for j = 2 to length(A)

do key = A[j]

/\*insert A[j] into the sorted sequence A[1...j-1]

i=j-1

while i>0 and A[i]>key

do A[i+1]=A[i];

i=i-1;

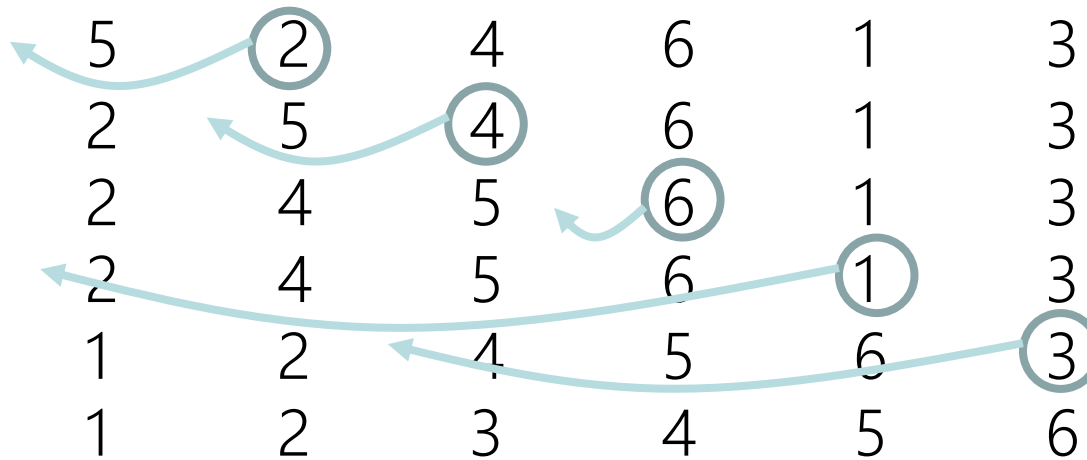
A[i+1]=key;



# Algorithm and its complexity

Example: Sort an array of numbers

5, 2, 4, 6, 1, 3 → 1, 2, 3, 4, 5, 6





# Algorithm and its complexity

Example: Sort an array of numbers

5, 2, 4, 6, 1, 3  $\rightarrow$  1, 2, 3, 4, 5, 6

Insertion sort (A)

```
for j = 2 to length(A)
```

```
  do key = A[j]
```

```
    /*insert A[j] into the sorted sequence A[1...j-1]
```

```
    i=j-1
```

```
    while i>0 and A[i]>key
```

```
      do A[i+1]=A[i];
```

```
        i=i-1;
```

```
        A[i+1]=key;
```

Algorithm time complexity:  $O(N^2)$



# Worst-case and average-case analysis

Example: Sort an array of numbers

5, 2, 4, 6, 1, 3  $\rightarrow$  1, 2, 3, 4, 5, 6

Insertion sort (A)

```
for j = 2 to length(A)
```

```
  do key = A[j]
```

```
    /*insert A[j] into the sorted sequence A[1...j-1]
```

```
    i=j-1
```

```
    while i>0 and A[i]>key
```

```
      do A[i+1]=A[i];
```

```
        i=i-1;
```

```
      A[i+1]=key;
```

**Can repeat  
from 0 to j  
times**

Algorithm time complexity:  $O(N^2)$



# Order of growth

Example: Sort an array of numbers

5, 2, 4, 6, 1, 3  $\rightarrow$  1, 2, 3, 4, 5, 6

Insertion sort:

Algorithm run time complexity:  $O(N^2)$

Order of growth: 2





# O-notation (big-O notation): Asymptotic upper bound

$O(g(n)) = \{f(n): \text{there exist positive constants } c \text{ and } n_0 \text{ such that } 0 \leq f(n) \leq c g(n) \text{ for all } n \geq n_0\}$

Note about O-notation operations:

$O(k_1 * N^2 + k_2 * N^3) = O(N^3)$  for constants  $k_1, k_2$



# O-notation (big-O notation): Asymptotic upper bound

Example: Sort an array of numbers

5, 2, 4, 6, 1, 3  $\rightarrow$  1, 2, 3, 4, 5, 6

Insertion sort:

algorithm time complexity:  $O(N^2)$



# Sorting with time complexity of $O(N^2)$

Example: Sort an array of numbers

5, 2, 4, 6, 1, 3  $\rightarrow$  1, 2, 3, 4, 5, 6

Sort (A)

```
for j = 2 to length(A)
```

```
  do key = A[j]
```

```
    /*Use binary search to insert A[j]
```

```
    /*into the sorted sequence A[1...j-1]
```

```
    i=j-1
```

```
      Binary_search(A[j], A[1...j-1],)
```



# Sorting

Example: Sort an array of numbers

5, 2, 4, 6, 1, 3  $\rightarrow$  1, 2, 3, 4, 5, 6

There are a lot of sorting algorithms:

Heap sort ( $O(N \cdot \log N)$ )

Merge sort ( $O(N \cdot \log N)$ )

\*Quick sort (worst-case  $O(N^2)$ , average  $O(N \cdot \log N)$ )



# Merge sort

Merge-Sort (A, p, r)

if  $p < r$

then  $q = \lfloor (p+r)/2 \rfloor$

Merge-Sort(A, p, q)

Merge-Sort(A, q+1, r)

Merge(A, p, q, r)

Time Complexity: 
$$T(N) = \begin{cases} O(1); & \text{if } N = 1 \\ 2T(N/2) + O(N); & \text{if } N > 1 \end{cases}$$

Solve it:  $T(N) = O(N \cdot \log N)$



# Space complexity

Example: Sort an array of numbers  
5, 2, 4, 6, 1, 3  $\rightarrow$  1, 2, 3, 4, 5, 6

Need an array of size  $N$ :  $A[1\dots N]$ , and 3 temporary variables  
 $O(N)$

Example: Sequence alignment

Need a two-dimension array of size  $N*M$ , and a constant number of temporary variables  
 $O(N*M)$  or  $O(\max(N, M))$



# Other issues

- Input/Output method/place/mode
  - Speed
    - screen  $\ll$  hard disk  $\ll$  memory
- Programming language
  - Speed
    - Perl  $<$  java  $<$  C++  $<$  C
- Output size
  - Blast: output can be a problem
  - Compressed data vs decompressed data
    - Smaller size
    - Higher read/write speed?